



A common red algal origin of the apicomplexan, dinoflagellate, and heterokont plastids

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The discovery of a nonphotosynthetic plastid in malaria and other apicomplexan parasites has sparked a contentious debate about its evolutionary origin. Molecular data have led to conflicting conclusions supporting either its green algal origin or red algal origin, perhaps in common with the plastid of related dinoflagellates. This distinction is critical to our understanding of apicomplexan evolution and the evolutionary history of endosymbiosis and photosynthesis; however, the two plastids are nearly impossible to compare due to their nonoverlapping information content. Here we describe the complete plastid genome sequences and plastid-associated data from two independent photosynthetic lineages represented by *Chromera velia* and an undescribed alga CCMP3155 that we show are closely related to apicomplexans. These plastids contain a suite of features retained in either apicomplexan (four plastid membranes, the ribosomal superoperon, conserved gene order) or dinoflagellate plastids (form II Rubisco acquired by horizontal transfer, transcript polyuridylation, thylakoids stacked in triplets) and encode a full collective complement of their reduced gene sets. Together with whole plastid genome phylogenies, these characteristics provide multiple lines of evidence that the extant plastids of apicomplexans and dinoflagellates were inherited by linear descent from a common red algal endosymbiont. Our phylogenetic analyses also support their close relationship to plastids of heterokont algae, indicating they all derive from the same endosymbiosis. Altogether, these findings support a relatively simple path of linear descent for the evolution of photosynthesis in a large proportion of algae and emphasize plastid loss in several lineages (e.g., ciliates, *Cryptosporidium*, and *Phytophthora*).

Apicomplexa | *Chromera velia* | CCMP3155 | plastid evolution | chloroplast genome

The primary obstacle in determining the evolutionary origin of the cryptic plastid in apicomplexan parasites, the apicoplast (1), has been its divergent nature. The apicoplast genome is both highly-derived (it is compact, with fast-evolving genes and a very high AT-bias), and reduced (it has lost all genes related to photosynthesis), making clear comparisons with other plastids difficult (2). Moreover, the closest algal relatives to the apicomplexans are dinoflagellates, and dinoflagellate plastids are equally derived but in different ways. Characterized dinoflagellate plastid genomes encode only 12–14 genes, which are extremely fast-evolving and are localized on minicircles with one or a few genes (3). Most importantly, however, nearly all of the genes retained in the dinoflagellate plastid encode photosystem proteins, so the two genomes are virtually incomparable in their gene content. For these reasons, hypotheses for the apicoplast origin rest on analyses of its divergent genes in the absence of dinoflagellate homologs (4–6), plastid-derived genes encoded in the nucleus (7), or genes with no connection to the plastid whatsoever (8, 9). Not surprisingly, these data have led to completely inconsistent conclusions either for a green or red algal origin of the apicoplast. The hypothesis that the apicoplast is derived from a red alga is also tied to the broader “chromalveolate” hypothesis, which posits that the endosymbiosis that

gave rise to the apicoplast is much more ancient and also gave rise to plastids in dinoflagellates, heterokonts, and hacrobian (cryptomonads and haptophytes) (10). Although this notion minimizes the number of endosymbiotic events required to explain plastid diversity, it also leads to complexity in other ways because each of the chromalveolate lineages contains early-branching members or sister groups where no plastid is known. Minimizing endosymbiotic events therefore increases the number of times photosynthesis or plastids must have been lost. Alternatively, each of these lineages could have obtained its plastid from an independent red algal endosymbiosis (11) or from another eukaryote already containing a red algal plastid through serial tertiary endosymbioses (12, 13). The apicomplexans and dinoflagellates illustrate this discrepancy well, because recognizable plastids appear to be absent in basal subgroups of both lineages and the presence of photosynthesis in their common ancestor would require between five and nine independent losses of photosynthesis (and in some cases plastids) just among the early-branching lineages, and probably another dozen losses within dinoflagellates as a whole. Distinguishing between the early vs. late origin of these plastids has proven extraordinarily difficult and has led to a passionate debate over likelihood of plastid gain versus loss and how to interpret genomic data from various nonphotosynthetic groups related to red plastid-containing lineages like ciliates, oomycetes, and rhizarians (13, 14). Comparisons between complete plastid genomes would constitute the most direct way to test these hypotheses, but this has historically precluded both apicomplexans and dinoflagellates because their genomes are so reduced and divergent that their relationship to one another and other plastid lineages remains obscure. A potential breakthrough to this stalemate was the recent description of a photosynthetic relative of the apicomplexans, *Chromera velia* (15). Although related to apicomplexans, *C. velia* is photosynthetic, so if the *C. velia* plastid genome retains characteristics ancestral to both apicomplexan and dinoflagellate plastids, it has the potential to settle many debates conclusively. Unfortunately, to date only three of its genes have been characterized (15, 16), and nothing is known of its gene content, organization, or structure. Here, we describe the complete plastid genome sequences and other plastid-associated data from *C. velia*, and also from a second independent lineage of photosynthetic alveolate, represented by the undescribed species CCMP3155. These data provide several lines of evidence (e.g., shared gene content, genome structure, processing pathways, lateral gene transfers, as well as gene phylogenies) that the

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common ancestor of apicomplexans and dinoflagellates contained a plastid and that the extant plastids in these lineages descended from that ancestral organelle. Phylogenetic reconstruction also provides direct evidence supporting the common origin of this plastid with those of heterokont algae.

Results and Discussion

Plastid Genomes from Two Photosynthetic Relatives of Apicomplexa.

C. velia has been shown previously to be a photosynthetic alga that is found associated with corals and is related to apicomplexans (15). CCMP3155 is another photosynthetic alveolate originally isolated from bodies of reef corals, and we have investigated it as a possible second such lineage. CCMP3155 oscillates between a coccoid stage and a flagellate stage closely reminiscent of colpodellids. The coccoid cell contains a single plastid surrounded by four membranes, like the plastid of *C. velia* and apicomplexans, and has thylakoids stacked in triplets, similar to *C. velia* and dinoflagellates (Fig. S1) (15, 17).

The relationship of CCMP3155 to other alveolates is unknown and the position of *C. velia* has been inferred only from single-gene analyses (15, 16), so we first sought to clarify the phylogeny. Their position relative to apicomplexans and dinoflagellates is critical to any interpretation of plastid characters—especially if either proved to be specifically related to photosynthetic dinoflagellates rather than apicomplexans. Total genomic DNA from both organisms was sequenced by 454-pyrosequencing and selected nuclear genes extended by PCR, RT-PCR, and 3'RACE into a concatenated dataset of eight nuclear genes consisting of 7,137 characters. All phylogenetic analyses consistently showed with strong support that *C. velia* and CCMP3155 are closely related to apicomplexans and, strikingly, form two distinct lineages with CCMP3155 more closely related to apicomplexans (Fig. 1). Analyses of plastid genes (see *Plastid Phylogeny* below) support their separation, but the positions of *C. velia* and

CCMP3155 are interchanged, so all evidence indicates that they represent two distinct photosynthetic lineages that are closely related to apicomplexans. The plastid genomes of both organisms were assembled into single contigs from 454 sequence data. The CCMP3155 plastid DNA maps as a circle (Fig. S2), whereas in *C. velia* a single gap between the two copies of *psbA* could not be filled, but the sequenced genome size (119.8 kb) corresponds closely to the size estimated from pulse-field gel electrophoresis and Southern hybridization (121.2 kb) (Fig. S3), suggesting a small gap with few or no genes. It is also possible that the majority of *C. velia* plastid genome exists as a linear molecule. The *C. velia* plastid genome is larger than that of CCMP3155 (121.2 kb vs. 85.5 kb), with a lower gene density and stronger strand polarity (Fig. S2). The *C. velia* plastid uses a noncanonical genetic code (UGA encodes tryptophan) (15), whereas CCMP3155 uses the universal genetic code. At 47.74% GC, the CCMP3155 plastid is one of the least AT-biased plastid genomes known, contrasting to the extremely AT-rich apicoplast (86.86% AT in *Plasmodium falciparum*). The ribosomal RNA operon of CCMP3155 is also of interest as it is interrupted by a gene for phosphonopyruvate decarboxylase, which appears to be a rare case of lateral gene transfer to the plastid. Comparing gene content among alveolate plastids (Fig. 2, center rings), reveals the nearly mutually-exclusive gene sets of apicomplexans and dinoflagellates (they only share rRNAs and a handful of tRNAs). Plastid genomes of *C. velia* and CCMP3155 have a relatively modest gene complement, but nevertheless both contain all genes found in either apicomplexans or dinoflagellates, plus numerous other

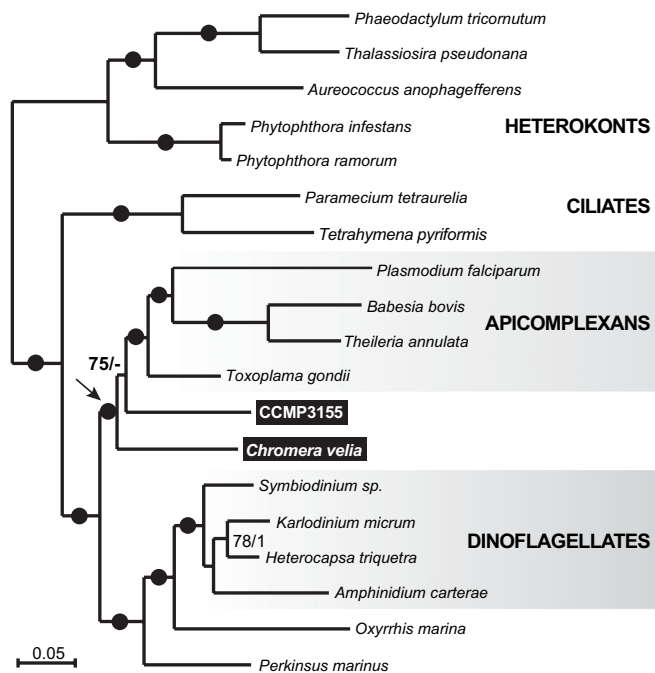


Fig. 1. The nuclear phylogeny of *C. velia* and CCMP3155 show they are two independent photosynthetic lineages closely related to apicomplexan parasites (arrow). The RAXML tree is derived from concatenation of eight nuclear encoded genes (7,137 characters). RAXML/ MrBayes supports are shown above branches; solid circles indicate 100/1 supports.

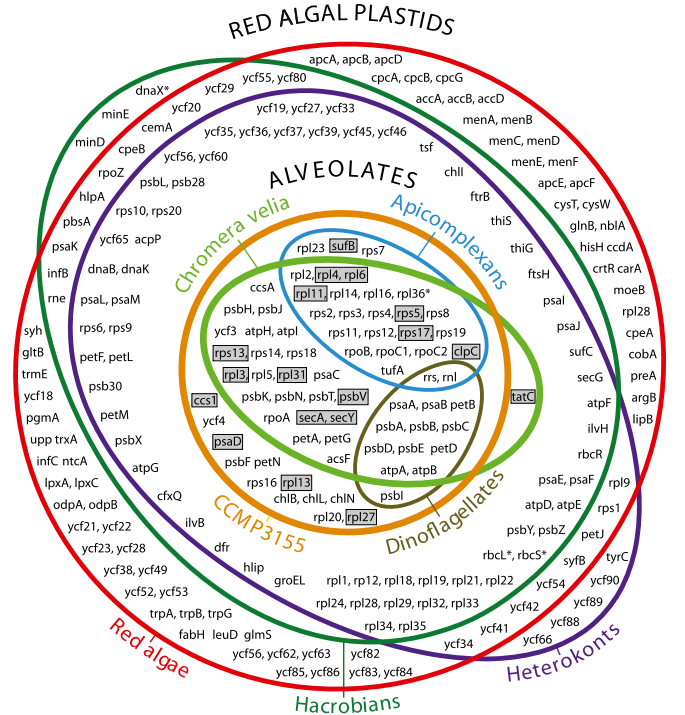


Fig. 2. Venn diagram of plastid genome contents in various red plastid lineages. Overlap between the four lineages of alveolates represented by the center rings reveals that plastids of *C. velia* and CCMP3155 collectively encode all genes found in both apicoplasts and dinoflagellate plastids. Gray boxes highlight 18 genes that are absent in plastids of plants and green algae but all found in alveolate and red algal derived plastids. Genes that originated through horizontal gene transfer are marked with an asterisk. The diagram does not include genes for tRNAs, other small RNAs (5S rRNA, ffs, tmRNAs, rnpB) and the *ppd* gene horizontally transferred to the CCMP3155 plastid genome.

would in turn suggest that the character is also either present in apicomplexans and CCMP3315, or that it was lost in one or both of those lineages, but to date we are aware of no data from either to distinguish.

Plastid Phylogeny Supports a Common Origin of Alveolate and Heterokont Plastids. Reduced gene content severely restricts any direct comparisons between apicomplexan and dinoflagellate plastids, and plastids in other algal lineages. The *C. velia* and CCMP3155 plastid genes are also divergent and phylogenies based on them need to be interpreted carefully, however, they nevertheless provide the means to test alveolate relationships in another way. The relationship between apicomplexan and dinoflagellate plastids abundantly supported by the gene content, gene order, and rare genomic characters described above was tested by evaluating the relationship of each group individually to *C. velia* and CCMP3155 using the gene set common to each. In both cases the monophyly of alveolate plastids and their relationship to red algae are supported under all analytic models (Fig. S7), reinforcing the conclusion that the ancestor of apicomplexans and dinoflagellates possessed a red algal plastid, that their extant plastids are direct descendants of that organelle, and that each retains different subsets of its ancestral characteristics (Fig. 4). The plastid genomes of *C. velia* and CCMP3155 also provide an opportunity to examine the deeper history of this endosymbiosis. The reduction of apicomplexan and dinoflagellate plastids not only made their direct comparison difficult but also challenged any comparisons with other plastid lineages. In contrast, the *C. velia* and particularly CCMP3155 genomes are the most slowly evolving, gene-rich alveolate plastid genome known and are therefore more readily comparable to other plastid genomes. In phylogenetic analyses of whole-plastid genomes, CCMP3155 consistently groups as a sister lineage to heterokonts with strong support (Fig. 5 and Figs. S7A and B and S8). Alveolates and stramenopiles are also related in nuclear gene trees (26, 27), so their affiliation in whole-plastid phylogenies provides evidence that their plastids are also ancestral. The common ancestry of hacrobian plastids (cryptophytes and haptophytes) also received strong support in all analyses (Fig. 5 and Figs. S7A and S8) and is consistent with the horizontal replacement of *rpl36* in their plastid genomes (28) and analyses of nuclear genes (29, 30). Many analyses recovered a monophyletic lineage including all red algal derived plastids (the chromalveo-

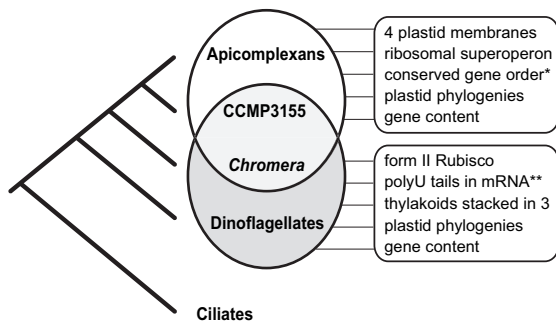


Fig. 4. Summary of plastid evolution in alveolates. The plastid genomes of *C. velia* and CCMP3155 provide a direct link between the plastids of apicomplexans and dinoflagellates because they retain ancestral features that were previously thought to be exclusive to one or the other of these lineages (boxed at the right). Relationships between the lineages based on nuclear data are shown at the left. An asterisk indicates that several regions of conserved gene order are found between the plastid genomes of apicomplexans and CCMP3155, and CCMP3155 and *C. velia*. Double asterisk indicates that the presence of polyUs in CCMP3155 plastid transcripts has not yet been determined.

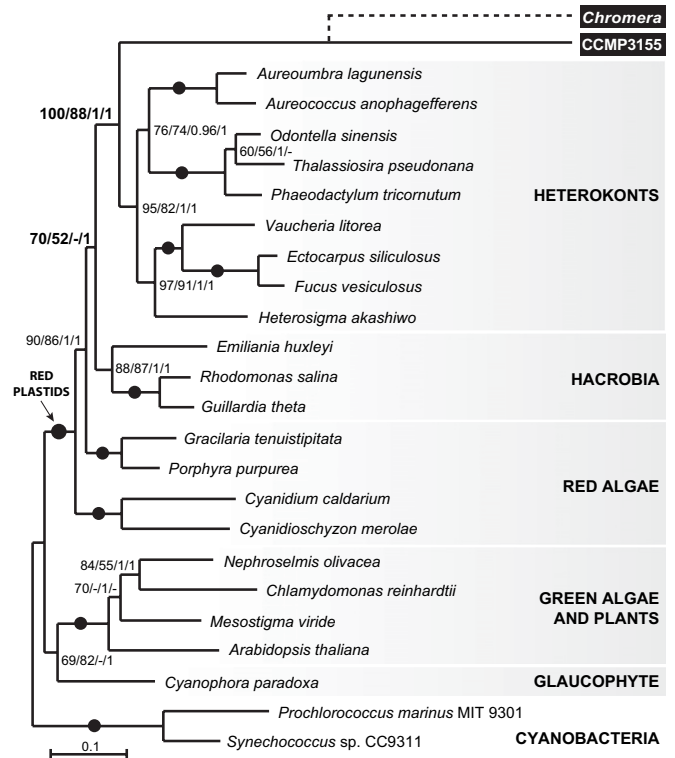


Fig. 5. Plastid phylogenies of 34 conserved plastid genes confirm that CCMP3155 contains a red algal plastid and strongly support its close relationship to plastids of heterokonts. The dotted branch indicates the placement of *C. velia* sequence, which received complete support in all analyses, but was excluded because of its high rate of substitution. The RAxML tree shows RAxML/PhyML-CAT/MrBayes/PhyloBayes supports over branches; solid circles indicate 100/100/1/1 support. Only $\geq 60/\geq 50/\geq 0.98/\geq 0.98$ branch supports are shown as significant.

lates), but this is not as strongly supported as the alveolate/heterokont or hacrobian groupings. Trees including all plastid genes recovered chromalveolates with weak support (Fig. S8), whereas trees restricted to the slowest evolving 34 and 11 genes recovered chromalveolates with modest and strong support, respectively (Fig. 5 and Fig. S7A). These genes are mostly photosystems, which have been shown to be less likely to lead to spurious results than the housekeeping genes (31, 32). Overall we conclude the plastid genomes support the monophyly of two major groups, the alveolate/heterokont group and the hacrobian group—whether they form a single chromalveolate group is not yet certain.

Simple Hypothesis for Plastid Evolution. The plastid genomes of *C. velia* and CCMP3155 provide multiple lines of evidence for a common origin of red algal plastids in apicomplexans, dinoflagellates, and heterokonts. This, together with parallel evidence for a relationship between the host lineages (26, 27), supports a rather simple picture of plastid evolution by direct descent in these lineages. Recently, a number of more complex theories involving serial tertiary endosymbiosis have been proposed and expanded, in particular, some that suggest either dinoflagellate and apicomplexan plastids were acquired recently from different sources (13, 33). Our data are explicitly inconsistent with this notion, because extant plastids of dinoflagellates and apicomplexans can be linked through *C. velia* and CCMP3155.

Although serial transfers of plastids could formally explain the relationship of alveolate and heterokont plastids, congruent

relationships inferred from plastid (this study) and nuclear genes (26, 27) suggest simple descent from a common ancestor a more likely explanation. Indeed, serial acquisition of eukaryotes with complex (four membrane) plastids remain a theoretical model that has never been observed outside a few lineages of dinoflagellates, and we show here that all other dinoflagellate plastids were inherited by descent from a common ancestor with apicomplexans. A similar situation is found in hacrobian. Plastid and nuclear gene phylogenies combined with the shared presence of horizontally transferred plastid *rpl36* argue strongly for a common plastid acquisition. Overall, available data provide support for at most two and perhaps only one secondary endosymbiosis of a red alga (one in hacrobian and the other in the ancestor of heterokonts and alveolates), and there is as yet no direct evidence for any major algal group acquiring a plastid by tertiary endosymbiosis. Another potential twist in plastid evolution is the notion modern plastids might have supplanted older plastids in some algal lineages, and the history of this original organelle is now only recognizable through the presences of relict genes. Such a case was recently made for chromalveolates using molecular data from some members of the heterokonts (34). The present results neither confirm nor undermine these hypotheses because our conclusions derive from the plastid genome itself and relate specifically to extant plastids.

Role of Loss in Plastid Evolution. A common origin of alveolate plastids impacts how we view the importance of photosynthesis and plastid loss in evolution. Many alveolate lineages are non-photosynthetic, but if the ancestors of alveolates and heterokonts had a plastid, then all nonphotosynthetic members of these groups had photosynthetic ancestors. Whether they lost plastids or just photosynthesis remains unknown in most cases: the recent discovery of a cryptic plastid in *Perkinsus marinus* (35), and several plastid-targeted genes in *Oxyrrhis marina* (36) (both deep-branching members of the dinoflagellate lineage), highlights this distinction and the need for direct evidence of plastid ancestry in such lineages. In other lineages the abundance of data allows more solid conclusions. In particular, the data now supporting the photosynthetic ancestor of apicomplexans and dinoflagellates lead us to infer that the ancestor of the apicomplexan *Cryptosporidium* had a plastid despite the absence of plastid ultrastructure or genes for plastid-targeted proteins. A similar case can be made for ciliates and various nonphotosynthetic heterokonts (in particular oomycetes) where whole genomes again confirm the absence of a plastid (37, 38), although claims of relict plastid endosymbiont genes have been made (38, 39). Overall, the apicomplexans, dinoflagellates, and their close relatives are a hotspot for loss of plastids and photosynthesis and further research on this group will likely give us important clues about plastid and photosynthesis loss in other algal lineages.

Implications for Plastid Genome Evolution in Apicomplexans and Dinoflagellates. Apicomplexan plastid genome reduction is commonly linked to the loss of photosynthesis, although in dinoflagellates the transformation of the plastid genome into single gene minicircles (3) could be interpreted as having allowed the massive transfer of genes to the nucleus (40, 41). However, comparing gene content across all red plastids (Fig. 2) reveals that many genes missing from the plastids of apicomplexans and/or dinoflagellates are also absent from those of *C. velia* and

CCMP3155, and probably were already missing in their common ancestor. This indicates a massive loss or migration to the nucleus of at least 81 genes took place before either apicomplexans or dinoflagellates evolved. Searching the *C. velia* and CCMP3155 nonplastid sequence revealed fragments of several of these “missing” genes, and 3’RACE showed that transcripts of at least three such genes (*chll*, *rps9*, and *rpl21*) are polyadenylated, confirming their nuclear localization. Therefore, a major plastid genome reduction by migration to the nucleus took place early in alveolate evolution, and although it continued in both dinoflagellates and apicomplexans (likely for different reasons), the process was not necessarily triggered by specific changes in these lineages. Indeed, it is even possible that this ancient wave of transfers might have precipitated some of the lineage-specific transformations that we observe in their plastids today. These questions and others may be difficult to answer now, but this might change if additional photosynthetic lineages are found to fall in this region of the tree—there are suspiciously few deep-branching photosynthetic alveolates, and the genomes of any additional lineages found might lead to further revisions of plastid history.

Materials and Methods

C. velia (CCMP2878) and CCMP3155 were cultivated in L1 seawater medium at 22 °C in 16/8 light/dark cycle. Transmission electron microscopy of CCMP3155 was performed as described previously (15). Genomic DNA was extracted as described previously (15), and sequenced by 454-pyrosequencing. The assembly of plastid genomes resulted in 13.46x and 92.65x coverage in *C. velia* and CCMP3155, respectively. The assembly in *C. velia* was verified by direct pair-end sequencing of specific PCR products spanning the entire genome, either connecting conserved plastid genes or directly overlapping. The nuclear dataset was constructed by cloning and sequencing PCR, RT-PCR, and 3’RACE products with specific primers designed to extend fragments of nuclear genes found in the 454 data (see the list of genes below). For the size estimate of *C. velia* plastid genome, cells were embedded in low-melting agarose plugs, treated with 2% N-laurylsarcosine detergent and 2 mg/mL proteinase K for 30 h at 56 °C and run on pulse-field electrophoresis at U = 6 V/cm (pulses 0.5–25 s) for 20 h in 0.5x TBE. Separated DNA was then blotted onto a membrane, which was hybridized with a radioactively marked *psbA* probe and the final size of the plastid genome was calculated as an average of five lane measurements from two independent pulse field gel electrophoresis (PFGE) runs. Total RNA was isolated by using TRIzol, treated with DNase I for 10 min, and purified using RNeasy MinElute Cleanup Kit (Qiagen). 3’RACE and 5’RACE were performed using FirstChoice RLM-RACE kit (Ambion). 3’ regions of *chll*, *rps9*, and *rpl21* transcripts were cloned using a standard protocol and three clones from each sequenced and assembled into single contigs. For determining polyU tails in plastid transcripts, purified total RNA was treated with RNA ligase, reversely transcribed into first-strand cDNA and PCR products amplified using outwards-facing, plastid gene specific primers. All PCR products amplified from cDNA were cloned and one to three clones sequenced. Phylogenetic methods are described in detail in *SI Materials and Methods*.

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